

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ronald E. Sloan et al.	
Serial No.:	09/704,838	Conf. No. 4308
Filed:	November 1, 2000	Group Art Unit: 3693
Title:	USER INTERFACE FOR A FINANCIAL MODELING SYSTEM	Examiner: Kirsten Sachwitz Apple
Docket No.:	60021-382201	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Please amend the Domestic Priority data as claimed by application to read as follows:

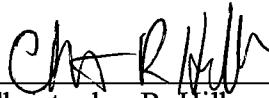
THIS APPLICATION IS A CIP OF 09/431,684 11/01/1999  
AND IS A CIP OF 09/579,852 05/25/2000  
09/597,852 IS A CIP OF 09/452,273 11/30/1999

Attached for your reference, are copies of the following:

1. A red-lined Filing Receipt showing the updated Domestic Priority Data;
2. A copy of the first page of the specification filed with the USPTO on November 1, 2000 showing the related applications; and
3. A Supplemental Data Sheet.

The Commissioner is authorized to charge any fees associated with this filing to Deposit Account No. 50-1901 (Reference No. 60021-375901).

Respectfully submitted,

By:   
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/704,838	11/01/2000	2165	930	60021.375901	11	25	3

## CONFIRMATION NO. 4308

## UPDATED FILING RECEIPT



\*OC000000006279975\*

Hickman Coleman & Hughes LLP  
 P O Box 52037  
 Palo Alto, CA 94303-0746

Date Mailed: 07/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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 Stephen B. Slutsky, Toronto, CANADA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/431,684 11/01/1999

Foreign Applications AND IS A CIP OF 09/579,852 05/25/2000  
 09/579,852 IS A CIP OF 09/452,273 11/30/1999

If Required, Foreign Filing License Granted 01/06/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

User interface for a financial modeling system

## Preliminary Class

705

A NOC 10 769

Data entry by : AHMED, HEWAN

Team : OIPE

Date: 07/11/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

A USER INTERFACE FOR A FINANCIAL MODELING SYSTEM

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By Inventors: Ronald Sloan and Stephen B. Slutsky

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**RELATED APPLICATIONS**

The present application is a continuation-in-part of U.S. application number  
09/431,684 entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR  
A GRAPHICAL USER INTERFACE CAPABLE OF DYNAMICALLY AND  
INTERACTIVELY CAPTURING A FINANCIAL INTENTION OF A USER" filed  
November 1, 1999, and U.S. application number 09/579,852 entitled "SYSTEM,  
METHOD AND ARTICLE OF MANUFACTURE FOR A RISK/REWARD MAP IN A  
FINANCIAL MANAGEMENT/ADVICE GUI" filed May 25, 2000 itself a continuation-  
in-part of U.S. application number 09/452,273 titled "SYSTEM, METHOD AND  
ARTICLE OF MANUFACTURE FOR INVESTMENT PORTFOLIO MANAGEMENT  
IN A NETWORK-BASED FINANCIAL FRAMEWORK" filed November 30, 1999 all  
of which we incorporated herein by reference.

AND1P759

PATENT

## **Supplemental Application Data Sheet**

### **Application Information**

Application Type:: Regular  
Subject Matter:: Utility  
Title:: USER INTERFACE FOR A FINANCIAL MODELING SYSTEM  
  
Attorney Docket Number:: 60021-375901  
Serial No.: 09/704,838  
Filed:: November 1, 2000  
Total Drawing Sheets:: 11  
Small Entity:: No  
Petition included?::  
Secrecy Order in Patent Appl.?::

### **Applicant Information**

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#### **Correspondence Information**

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#### **Representative Information**

Representative Customer Number:: 29838

#### **Domestic Priority Information**

<b>Application::</b>	<b>Continuity Type::</b>	<b>Parent Application::</b>	<b>Parent Filing Date::</b>
This application	CIP	09/431,684	11/01/1999
<u>This application</u>	<u>CIP</u>	<u>09/579,852</u>	<u>05/25/2000</u>
<u>09/579,852</u>	<u>CIP</u>	<u>09/452,273</u>	<u>11/30/1999</u>

#### **Foreign Priority Information**

<b>Country::</b>	<b>Application number::</b>	<b>Filing Date::</b>	<b>Priority Claimed::</b>

**Assignee Information**

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